



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Delbarba	)
Application No.: 10/022,475	) Group Art Unit: 2166
Filed: December 18, 2001	) Examiner: Unassigned
For: METHOD OF MARKET BASKET BIDDING FOR SURPLUS MERCHANDISE	) ) ) )

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§ 1.56 ET SEQ.

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Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.97(b), the above-identified Applicant cites the following patents and publications, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits.

#### **CERTIFICATE OF MAILING (37 C.F.R. § 1.8)**

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first-class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Name Kim R. Jessum Signature Land Jessum Date of Deposit June 26, 2002

In compliance with 37 C.F.R. § 1.98(a), copies of the patents and publications set forth below and listed on the attached Form PTO-1449 (3 sheets) are provided.

### U.S. Patents

Patent No.	Issue Date	<u>Patentee</u>
3,581,072	5/25/71	Nymeyer
3,863,060	1/28/75	Rode, et al.
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6,119,229	9/12/00	Martinez, et al.
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6,161,099	12/12/00	Harrington, et al.
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6,366,891	4/2/2002	Feinberg

# Foreign Patents

Publication No.	Publication Date	Country
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WO 98/34187	8/6/98	WIPO
WO 99/63461	12/9/99	WIPO

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#### Other Publications

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Associated Press, "Auction on Web is Buyer's Market", April 6, 1998

"BroadVision Developing First Interactive Commerce Management System To Support Online Sales & Marketing Process; New Software Category Necessary to Interactive Network Architecture", Business Wire, p5150152, May 15, 1995

Danny Cohen, "Computerized Commerce", Information Processing 89, August 28-September 1, 1989

"FairMarket Launches New Self-Serve Auctions", Business Wire, p6161495, June 16, 1998

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Woolley, "E-muscle", Forbes, March 9, 1998

Applicant respectfully requests that these items be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning copies of the enclosed Form PTO-1449 (3 sheets) with the next official action.

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While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. §1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37 C.F.R. § 1.56.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

EXCEPT for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-0310. This paragraph is intended to be a CONSTRUCTIVE PETITION FOR EXTENSION OF TIME in accordance with 37 C.F.R. § 1.136(a)(3).

Respectfully submitted,

June 26, 2002

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Substitute for form 1	449A/PTO		Complet	
			Application Number	10/022,475
INFORMATION			Filing Date	December 18, 2001
STATEMENT B			First Named Inventor	Delbarba
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Exr Initials	Country Code	Foreign Patent Documer Number	Kind Code (if known)	Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sub>1</sub>
	EP	0399850A		DEOEWED.	11/28/90	
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	WIPO	WO 97/37315		uu 0 5 2002	10/9/97	
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	JP	409101994A		G11001 3000	4/97	
	JP	410078992A			3/98	

	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Exr Initials	Include Name of Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sub>1</sub>
	Andrews, "Auctions Catch the Interest of Hobbyists and Big Business", August 24, 1998	
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	"BroadVision Developing First Interactive Commerce Management System To Support Online Sales & Marketing Process; New Software Category Necessary to Interactive Network Architecture", Business Wire, p5150152, May 15, 1995	

Examiner		Date	٦
Signature	·	Considered	

### Substitute for form 1449A/PTO Complet **Application Number** 10/022,475 INFORMATION DISCLOSURE December 18, 2001 **Filing Date** STATEMENT BY APPLICANT **First Named Inventor** Delbarba **Group Art Unit** 2166 (use as many sheets as necessary **Examiner Name** Unassigned **Attorney Docket Number** 046700-5054 Sheet JUL 0 1 2002 Danny Cohen, "Computerized Commerce", Information Processing 89, August 28-September 1, 1989 "FairMarket Launches New Self-Serve Auctions", Business Wire, p6161495, June 16, Jahnke, "How Bazaar", CIO Web Business Magazine, August 27, 1998 Jean-Pierre Banatre, et al., "The Design and Building of Enchere, a Distributed Electronic Marketing System" Communications of the ACM, No. 1, January 29, 1986 Lee, "Do electronic marketplaces lower the price of goods?", Communications of the PCM, v41n1 pp 73-80, January 1998 Malone, et al., "The Logic of Electronic Markets", Harvard Business Review, No. 893II, May-June 1989 "Moai Technologies Introduces New categories of Business to Business Auction Software...", Business Editors and Computer Writers, March 16, 1998 Kikuchi, et al., "Multi-Round Anonymous Auction Protocols", IEICE Trans. Inf. & Syst., vol.E82-D, No.4, April 1999 "Online bidding software", Electronic Buyers' News, Issue 1072, p86, 1/6p, August 25, 1997 Sairamesh, et al., "Economic Framework for Pricing and Charging Digital Libraries", D-Lip Magazine, February 1996 "Sold!...To the Lowest Bidder", Computer Finance, v6, n2, July 1995 "Venture Capitalists Fund Two Massachusetts Internet Related Companies", Boston Globe, January 14, 1998 Vigoroso, "Buyers prepare for brave new world of e-commerce", Purchasing, v126, n6, pS4(1), April 22, 1999 Von der Fehr, et al., "Predatory bidding in sequential auctions", Oxford Economics Papers, v46, n3, p345(12), July 1994 "What you need to know to bid in FCC's narrowband auction", Washington Telecom News, v2, n26, p6(2), June 27, 1994 Wilder, "What's Your Bid? - FreeMarkets' real-time online bidding technology lets clients drive downcosts and improve product value", InformationWeek, November 10,

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1997

Woolley, "E-muscle", Forbes, March 9, 1998

Substitute for	or form 1449A/PTO	Complet			
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	ATION DISCLOSURE	Filing Date	December 18, 2001	4	
STATEMENT BY APPLICANT		First Named Inventor	Delbarba	III 0 1 0000 D	
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U.S. PATENT DOCUMENTS						
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	3,863,060		Rode, et al.	1/28/75		
	4,597,045		Kiuchi	6/24/86		
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	4,789,928		Fujisaki	12/6/88		
	4,799,156		Shavit, et al.	1/17/89		
	4,845,625		Stannard	7/4/89		
***	4,992,940		Dworkin	2/12/91		
	5,136,501		Silverman, et al.	8/4/92		
	5,193,056		Boes	3/9/93		
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	5,640,569	5	Miller, et al.	6/17/97		
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	5,684,963	U S	Clement	11/4/97		
	5,689,652		Lupien, et al.	11/18/97		
	5,715,402	<u> </u>	Popolo	2/3/98		
	5,727,165		Ordish, et al.	3/10/98		
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